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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
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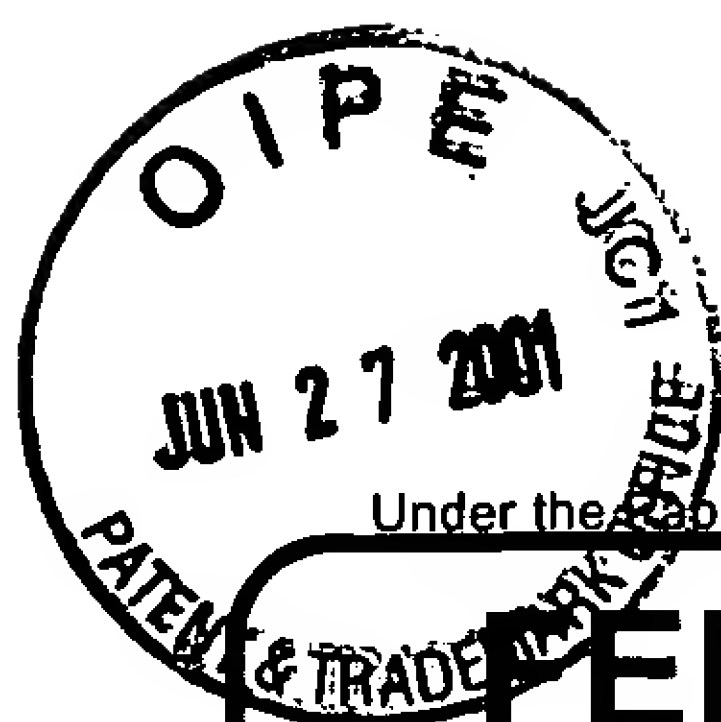
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/809,732	
	Filing Date	03/15/2001	
	First Named Inventor	Rudolph	
	Group Art Unit	To Be Known	
	Examiner Name	To Be Known	
Total Number of Pages in This Submission	8	Attorney Docket Number	IP 6086

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Victor Tsu Reg. No. 46,185
Signature	
Date	6/25/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>6/25/2001</u>			
Typed or printed name	Silvia Salvadori		
Signature		Date	6/25/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FREE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>	
TOTAL AMOUNT OF PAYMENT	(\$) 840.00

Complete if Known	
Application Number	09/809,732
Filing Date	03/15/2001
First Named Inventor	Rudolph
Examiner Name	To Be Known
Group Art Unit	To Be Known
Attorney Docket No.	IP 6086

METHOD OF PAYMENT	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	
Deposit Account Number	03-3839
Deposit Account Name	Gibbons, Del Deo, Dolan, Griffinger
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input checked="" type="checkbox"/> Payment Enclosed:	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

FEE CALCULATION					
1. BASIC FILING FEE					
	Large Entity	Small Entity		Fee Description	Fee Paid
	Fee Code (\$)	Fee Code (\$)			
101	710	201 355		Utility filing fee	710.00
106	320	206 160		Design filing fee	
107	490	207 245		Plant filing fee	
108	710	208 355		Reissue filing fee	
114	150	214 75		Provisional filing fee	
SUBTOTAL (1)					(\$) 710.00

2. EXTRA CLAIM FEES					
		Extra Claims	Fee from below		Fee Paid
Total Claims		-20** =	X		
Independent Claims		- 3** =	X		
Multiple Dependent					
	Large Entity	Small Entity		Fee Description	
	Fee Code (\$)	Fee Code (\$)			
103	18	203 9		Claims in excess of 20	
102	80	202 40		Independent claims in excess of 3	
104	270	204 135		Multiple dependent claim, if not paid	
109	80	209 40		** Reissue independent claims over original patent	
110	18	210 9		** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0.00

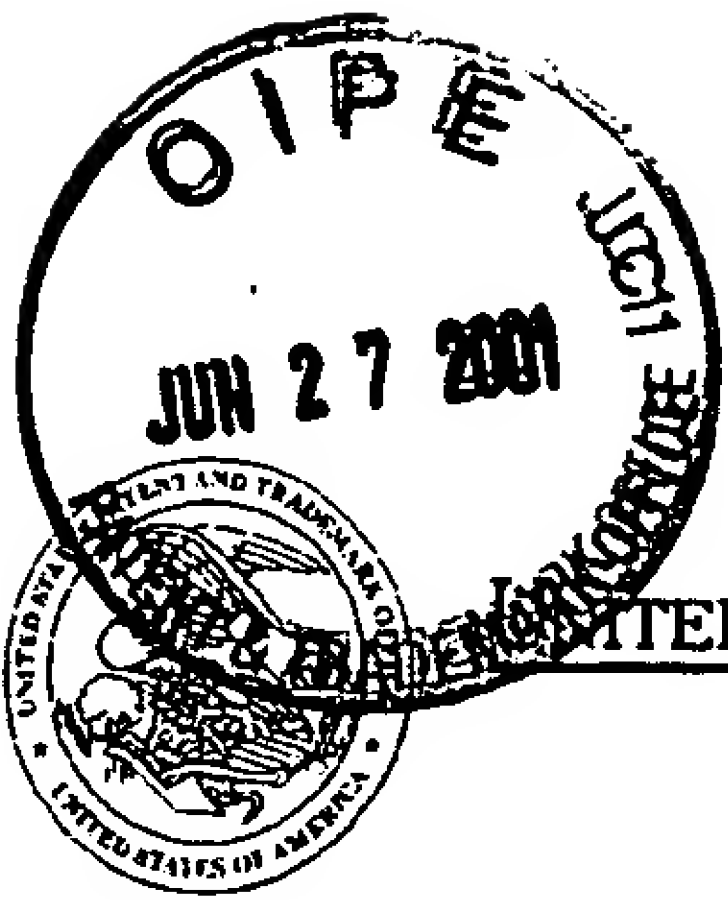
**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
	Large Entity	Small Entity		Fee Description	Fee Paid
	Fee Code (\$)	Fee Code (\$)			
105	130	205 65		Surcharge - late filing fee or oath	130.00
127	50	227 25		Surcharge - late provisional filing fee or cover sheet	
139	130	139 130		Non-English specification	
147	2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination	
112	920*	112 920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115	110	215 55		Extension for reply within first month	
116	390	216 195		Extension for reply within second month	
117	890	217 445		Extension for reply within third month	
118	1,390	218 695		Extension for reply within fourth month	
128	1,890	228 945		Extension for reply within fifth month	
119	310	219 155		Notice of Appeal	
120	310	220 155		Filing a brief in support of an appeal	
121	270	221 135		Request for oral hearing	
138	1,510	138 1,510		Petition to institute a public use proceeding	
140	110	240 55		Petition to revive - unavoidable	
141	1,240	241 620		Petition to revive - unintentional	
142	1,240	242 620		Utility issue fee (or reissue)	
143	440	243 220		Design issue fee	
144	600	244 300		Plant issue fee	
122	130	122 130		Petitions to the Commissioner	
123	50	123 50		Processing fee under 37 CFR 1.17(q)	
126	180	126 180		Submission of Information Disclosure Stmt	
581	40	581 40		Recording each patent assignment per property (times number of properties)	
146	710	246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355		Request for Continued Examination (RCE)	
169	900	169 900		Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$) 130.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Victor Tsu	Registration No. (Attorney/Agent)	46,185
Signature		Telephone	973-596-4575
		Date	6/25/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/809,732	03/15/2001	Richard F. Rudolph	IP 6086

CONFIRMATION NO. 5668

26345
GIBBONS, DEL DEO, DOLAN, GRIFFINGER & VECCHIONE
1 RIVERFRONT PLAZA
NEWARK, NJ 07102-5497

FORMALITIES LETTER



OC000000006001553

Date Mailed: 04/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/02/2001 HABRHAH1 00000107 09809732

01 FC:101
02 FC:105

710.00 DP
130.00 DP